

28TH MARCH 2013

REPORT OF THE HEAD OF INTERNAL AUDIT SERVICES

SELF ASSESSMENT – MEASURING THE EFFECTIVENESS OF THE AUDIT & GOVERNANCE COMMITTEE

EXEMPT INFORMATION

None.

PURPOSE

To complete a self assessment of the effectiveness of the Audit & Governance Committee and produce an improvement action plan if required.

RECOMMENDATIONS

That Members of the Committee complete the self assessment checklist (attached Appendix A) in order to formulated an improvement plan if required.

EXECUTIVE SUMMARY

To ensure that effective governance arrangements exist, the Authority is required to review the effectiveness of the system of internal control. Part of this review includes the review of the effectiveness of the Audit & Governance Committee.

The attached self assessment questionnaire (**Appendix A**) contains questions from the CIPFA guidance on how to measure the effectiveness of the Audit & Governance Committee plus additional appropriate questions.

The aim of the self assessment questionnaire is to identify areas of compliance and areas where improvement may be required.

Members are requested to complete the questionnaire.

RESOURCE IMPLICATIONS

There are no direct implications in relation to finance, community/performance planning, sustainable development, community safety, equal opportunities or human rights.

LEGAL/RISK IMPLICATIONS BACKGROUND

The risk is that the Audit & Governance Committee are not effective and do not fulfil Governance requirements.

SUSTAINABILITY IMPLICATIONS

None.

BACKGROUND INFORMATION

None

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LIST OF BACKGROUND PAPERS

None

APPENDICES

Appendix A – Audit & Governance Committee Self Assessment 2012/13